



Att'y. Dkt. No. 076518-0140

1634

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Applicant: ANDRZEJ KILIAN

Title: METHODS FOR GENOTYPING BY  
HYBRIDIZATION ANALYSIS

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Appl. No.: 09/820,328

AUG 06 2002

Filing Date: 03/29/2001

TECH CENTER 1600/2900

Examiner: Frank Wei Min Lu

Art Unit: 1634

# 12  
8/7/02

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

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RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

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Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date August 1, 2002

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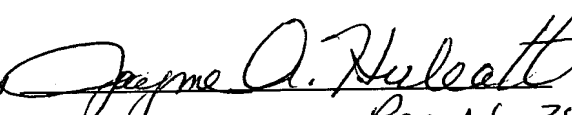


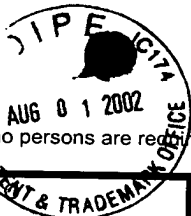
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PATENT TRADEMARK OFFICE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: August 1, 2002

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/820,328
Filing Date	03/29/2001
First Named Inventor	ANDRZEJ KILIAN
Group Art Unit	1634
Examiner Name	Frank Wei Min Lu
Attorney Docket Number	076518-0140

Sheet

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	5,126,239		LIVAK ET AL.	06/30/1992	
	A2	5,413,909		BASSAM ET AL.	05/09/1995	
	A3	5,876,933		PERLIN	03/02/1999	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A4	WO	97/22720	A1	BEATTIE	06/26/1997		
	A5	WO	98/30721	A1	PIONEER HI-BRED INTERNATIONAL, INC.	07/16/1998		
	A6	WO	99/05324	A1	AFFYMETRIX, INC.	02/04/1999		
	A7	WO	99/43855	A1	GENOMICS COLLABORATIVE, INC.	09/02/1999		
	A8	WO	00/77260	A1	GENOMIC PROFILING SYSTEMS, INC.	12/21/2000		
	A9	EP	0 976 836	A1	FUJISAWA PHARMACEUTICAL CO., LTD.	02/02/2000		

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A10	JACCOUD ET AL., "Diversity Arrays: a solid state technology for sequence information independent genotyping," <i>Nucleic Acids Research</i> (2001), Vol. 29, No. 4 e25, pp. 1 – 7, Oxford University Press.	
	A11	USHIJIMA ET AL., "Isolation of 48 genetic markers appropriate for high throughput genotyping of inbred rat strains by B1 repetitive sequence-representational difference analysis," <i>Mammalian Genome</i> (1998), Vol. 9, pp. 1008-1012, Springer-Verlag New York Inc.	
	A12	JORDAN ET AL., "Genome complexity reduction of SNP genotyping analysis," <i>PNAS</i> (March 5, 2002), Vol. 99, No. 5, pp. 2942-2947, www.pnas.org/cgi/doi/10.1073/pnas.261710699.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.